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SOURCE CODE ANNOTATION LANGUAGE

TECHNICAL FIELD

The invention relates generally to computer programming languages, and more
5 particularly relates to annotating source code.

BACKGROUND

As computer programs have become increasingly complex, the challenges of
developing reliable software have become apparent. Modern software applications can
contain millions of lines of code written by hundreds of developers, each with different
10 sets of programming skills and styles. Debugging such applications is therefore a
daunting task.

The basic concepts of software engineering are familiar to those skilled in the
art. Figure 1 shows a technique 100 for creating a computer program according to the
prior art. First, at 110, a program is created/edited by one or more developers. Then, at
15 120, the program is debugged (e.g., using a debugging tool). At 130, if the program
contains bugs to be fixed, the editing/debugging cycle continues. When the source code
for a program is determined to be sufficiently bug-free, the source code is compiled into
executable target code. Figure 2 shows a block diagram of a system for compiling
source code according to the prior art. A compiler 200 compiles source code written in
20 a high-level language in source files 205 into target code 210 for execution on a
computer. The target code 210 can be hardware-specific or generic to multiple
hardware platforms. The compiler 200 can use, for example, lexical analysis, syntax
analysis, code generation and code optimization to translate the source code into
executable code. In addition, many compilers have debugging capabilities for detecting
25 and describing errors at compile time.

The size and complexity of most commercially valuable software applications
have made detecting every programming error (or “bug”) in such applications nearly

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impossible. To help manage software development and debugging tasks and to facilitate extensibility of large applications, software engineers have developed various techniques of analyzing, describing and/or documenting the behavior of programs to increase the number of bugs that can be found before a software product is sold or used.

5 For example, in one technique, source code is instrumented with additional code useful for checking expressions in C programs to determine whether each instance of a particular kind of program operation (string manipulations) is safe. The instrumentation is analyzed at compile time to assess the “cleanness” of each string manipulation. *See* Dor et al., “Cleanness Checking of String Manipulations in C Programs via Integer
10 Analysis,” *Proc. 8th Int'l Static Analysis Symposium* (June 2001).

In other techniques, program specifications are written in specification languages that use different keywords and syntactic structures to describe the behavior of programs. Some specifications can be interpreted by compilers or debugging tools, helping to detect bugs that might not otherwise have been detected by other debugging
15 tools or compilers. *See, e.g.*, Evans et al., “LCLint: A Tool for Using Specifications to Check Code,” *SIGSOFT Symposium on Foundations of Software Engineering* (Dec. 1994).

Some specification languages define “contracts” for programs that must be fulfilled in order for the program to work properly. *See, e.g.*, Leavens and Baker,
20 “Enhancing the Pre- and Post-condition Technique for More Expressive Specifications,” *Proc. World Congress on Formal Methods in the Development of Computer Systems* (Sept. 1999). In general, a contract refers to a set of conditions. The set of conditions may include one or more preconditions and one or more postconditions. Contracts can be expressed as mappings from precondition states to
25 postcondition states; if a given precondition holds, then the following postcondition must hold.

Preconditions are properties of the program that hold in the “pre” state of the callee (i.e., at the point in the execution when control is transferred to the callee). They

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typically describe expectations placed by the callee on the caller. Callers must guarantee that preconditions are satisfied, whereas callees may rely on preconditions, but not make any additional assumptions. Postconditions are properties of the program that hold in the “post” state of the callee (i.e., at the point in the execution when control 5 is transferred back to the caller). They typically describe guarantees made to the caller by the callee. Callees must guarantee that postconditions are satisfied, whereas callers may rely on postconditions but may not make any additional assumptions.

Although many different specification languages have been previously developed, they tend to have shortcomings that fall into two categories. In some cases, 10 specification languages are so complex that writing the specification is similar in terms of programmer burden to re-writing the program in a new language. This can be a heavy burden on programmers, whose primary task is to create programs rather than to describe how programs work. In other cases, specification languages are less complex but not expressive enough to describe the program in a useful way or to allow detection 15 of a desirable range of errors.

Whatever the benefits of previous techniques, they do not have the advantages of the following tools and techniques.

SUMMARY

Techniques and tools for implementing a source code annotation language are 20 described. The source code annotation language uses contracts that define mappings from precondition states required to be satisfied by callers to postcondition states that can be relied upon by callees. The source code annotation language provides a framework for explicitly defining property and qualifier annotations in source code. For example, the properties in the language include keywords that describe how much 25 space in a buffer is allocated, and how much of a buffer is initialized. These properties are useful for detecting buffer overruns.

In one aspect, one or more keywords are added to a function interface in computer program code, where the keywords define a code invocation contract for the

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function, the code invocation contract having one or more contract requirements (e.g., a postcondition or precondition) independent of function call context.

In another aspect, one or more code annotations are inserted at one or more annotation targets. Each of the annotation targets is of an annotation target category.

- 5 Annotation target categories include: global variable, formal parameter of a function, return value of a function, user-defined data type. The code annotations can include a property, an annotation prefix (e.g., a qualifier), etc. A property can be a read only property, a return value property, etc. A property can indicate, for example, a location of a buffer pointer or characteristic of a buffer (e.g., a readable extent or writable extent 10 of a buffer). A qualifier can be a precondition qualifier, a postcondition qualifier, etc. A dereference prefix can be used to specify properties of an object referenced by a reference parameter. The inserted annotations also can include default annotations.

- 15 In another aspect, an annotation is inserted at a value in program code. The value (e.g., a formal parameter of a function, a return value, etc.) has a value type (e.g., scalar, void, pointer, user-defined type, struct, etc.). The annotation is a keyword indicating that the value has usability properties sufficient to allow a function to rely on the value, where the usability properties depend on the value type. For example, if the value is a pointer, and an object pointed to by the pointer has one or more readable elements, the readable elements of the object each have usability properties sufficient to 20 allow a function to rely on the one or more readable elements. The value also can be a reference parameter.

- 25 In another aspect, an annotation having an argument is inserted in program code. The annotation annotates a value having a first value type, and usability properties of the value are dependent on the first value type. The annotation indicates that the value has usability properties that depend on the properties of a second value type denoted by the argument of the annotation. For example, the first value type can be a legacy value type.

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In another aspect, an annotation describing a characteristic of a buffer is added to program code. For example, the annotation indicates the extent to which the buffer is readable or writable. A size parameter is included with the annotation. The size parameter describes a portion of the buffer to which the characteristic applies. The size
5 parameter is operable to describe the portion of the buffer using a size specification selected from a group of plural different size specifications (e.g., byte count, element count, end pointer location, internal pointer location, sentinel position).

In another aspect, an annotation comprising an arrangement of lexical components is added to program code. The arrangement consists of an optional
10 precondition qualifier or postcondition qualifier; followed immediately thereafter by an optional exception qualifier; followed immediately thereafter by one or more optional dereference qualifiers; followed immediately thereafter by a property.

In another aspect, a computer programmed as a source code annotation system comprises a memory storing program code for the source code annotation system and a
15 processor for executing the program code. The program code is for instructing a computer to add one or more annotations to one or more annotation targets in high-level language source code (e.g., source code in an object-oriented programming language). The annotations each comprise an arrangement of one or more lexical components, the arrangement consisting of an optional precondition qualifier or postcondition qualifier,
20 followed immediately thereafter by an optional exception qualifier, followed immediately thereafter by one or more optional dereference qualifiers, followed immediately thereafter by a property.

Additional features and advantages of the invention will be made apparent from the following detailed description which proceeds with reference to the accompanying
25 drawings.

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BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a flow diagram of a technique for creating a computer program according to the prior art.

Figure 2 is a block diagram of a system for compiling source code according to
5 the prior art.

Figure 3 is a block diagram of a source code annotation system.

Figure 4 is a diagram of a buffer having a *writableTo* property and a *readableTo* property.

Figure 5 is a block diagram of a suitable computing environment for
10 implementing source code annotation language techniques and tools.

DETAILED DESCRIPTION

The following description is directed to techniques and tools for implementing a source code annotation language. The techniques and tools allow simple yet expressive annotation of source code to assist developers and detecting bugs and developing
15 reliable source code.

Figure 3 is a block diagram of a source code annotation system 300. The system 300 is designed to produce annotated source code 310 useful for producing a high-quality final software product. For example, a developer uses a program editor 320 to create annotated source code 310 using a source code annotation language. The
20 developer can debug the annotated source code 310 using a debugging tool 330. The annotations in the annotated source code 310 allow detection of a broad range of bugs that may be present in the source code. From a list of identified bugs 340, a developer can edit the source code using the program editor 320. The annotations in the annotated source code 310 allow the iterations of the editing/debugging cycle to be more
25 productive. When debugging is complete, the developer can use a compiler 350 to compile the source code into target code using a compiler 360. For example, the compiler 360 can ignore annotations in the annotated source code during compilation.

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As another example, the developer may use a compiler that can take the annotations as input and perform further error-checking analysis at compile time. The compiler 360 and the debugging tool 330 may alternatively be included in a combined debugging/compiling application.

5

I. Source Code Annotation Language

The source code annotation language techniques and tools described herein allow programmers to explicitly state the contracts between implementations (callees) and clients (callers) that are implicit in the source code. Explicitly stated preconditions 10 and postconditions are useful because it is possible to build compile-time or run-time checkers that check the code of called functions (callees) and calling functions (callers) and report violations of preconditions and postconditions.

As explained above, preconditions typically describe expectations placed by the callee on the caller. For example, a called function may require that a calling function 15 not pass in a null pointer in a case where the called function expects to be able to dereference the passed-in pointer. As another example, a called function may require a buffer parameter to have a certain number of readable bytes in a case where the called function expects to be able to read that many bytes; the caller must guarantee that the buffer can be read to that point. Postconditions typically describe guarantees made to 20 the caller by the callee. For example, a calling function may require that the called function will not return a null pointer as a return value.

Some versions of the source code annotation language use a restricted set of contracts. In particular, preconditions and postconditions do not depend on the context 25 of particular function calls. An indicated precondition must hold on every invocation of a callee to which the indicated precondition applies, and an indicated postcondition must be satisfied by the callee on every invocation to which the indicated postcondition applies. In such versions, the source code annotation language does not allow

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“conditional” postconditions -- postconditions that only apply if some other condition is satisfied.

Alternatively, versions of the source code annotation language could include a richer set of contracts.

5

A. Annotations

- Annotations are statements about the state of a program. The source code annotation language allows the placement of annotations on certain program artifacts called annotation targets. Categories of annotation targets include global variables (i.e., “globals”), formal parameters of functions, return values of functions, and user defined types (typedefs). In some versions of the source code annotation language, placement of annotations in source code is limited to these categories of annotation targets.
- Alternatively, the source code annotation language could allow the placement of annotations on other program artifacts, including call sites and arbitrary expressions.
- For example, annotations could be placed at arbitrary points in the control flow of the program to make assertions about the execution state, or on arbitrary data structures to make statements about invariants (i.e., properties of the data structure that always hold).

- In order to support contracts, the source code annotation language provides precondition and postcondition annotations. Precondition and postcondition annotations are placed on individual parameters or on the return value. For example, in the function prototype

```
void func(pre deref notnull int **ppvr)
```

- the keyword sequence *pre deref notnull* is considered to be one annotation consisting of two qualifiers (*pre* and *deref*) and a property (*notnull*). (Qualifiers and properties are described in greater detail below.) The annotation is placed on the formal parameter ppvr of function func. In general, any number of annotations may appear on an annotation target.

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Some versions of the source code annotation language allow default annotations. Default annotations apply recursively to all positions reachable by dereference operations. The role of default annotations is to give unambiguous meaning to un-annotated or partially annotated functions. Default annotations make it possible to write 5 tools that insert default annotations for un-annotated program artifacts. Checking tools may behave differently depending on whether an annotation that matches an appropriate default was placed explicitly in the code by the programmer. For such checking tools, prior insertion of default annotations may lead to different checking results.

10 1. Annotation structure

The source code annotation language includes two kinds of annotation elements (properties and qualifiers) that have well-defined, unambiguous meanings. As the example above illustrates, a single annotation may consist of several annotation elements. The general structure of an annotation is as follows:

15 *annot* ::= [*pre* | *post*] [*except*] [*deref**] *p*

In this structure, an annotation consists of an optional *pre* or *post* qualifier, followed by an optional *except* qualifier, followed by any number of optional *deref* qualifiers, and ending in a property *p*. This annotation structure can be varied as to the order of the annotation elements, the optional nature of various elements, etc. Other variations on 20 this structure are also possible.

The sections below detail the meaning of these qualifiers and a set of defined properties.

2. Qualifiers

25 A qualifier is a keyword that acts as a prefix of an annotation. Table 2 below lists qualifiers that modify or disambiguate the next annotation in a sequence of annotations.

- 10 -

Qualifier	Meaning
<i>pre</i>	Prefixes an annotation to make it apply in the precondition state.
<i>post</i>	Prefixes an annotation to make it apply in the postcondition state.
<i>deref</i>	Annotates a pointer. The prefixed annotation applies one dereference down in the type. For example, if <i>p</i> points to a buffer, then the prefixed annotation applies to all elements in the buffer. If <i>p</i> is a pointer to a struct, the next annotation applies to all fields of the struct.

Table 2: The *pre*, *post*, and *deref* qualifiers

The *pre* and *post* qualifiers indicate whether a property is a precondition property or a postcondition property. In some versions of the source code annotation language, properties of parameters apply in the “pre” state by default, whereas 5 properties of the return value apply in the “post” state by default. The qualifiers *pre* and *post* are used to override these defaults.

The *deref* qualifier can be used to describe properties of objects that are reachable through one or more dereference operations on a formal parameter. In some versions of the language, reference parameters are treated like pointers. Annotations on 10 a reference parameter apply to the reference itself; an explicit *deref* must be used in order to specify properties of the referenced object.

Requiring an explicit *deref* qualifier to specify properties for a referenced object allows placement of annotations on the reference itself (e.g., by withholding the *deref* qualifier in an annotation on a reference). This requirement allows the same 15 annotations to be used whether a function receives a reference to an object or a pointer to the object. This requirement also ensures consistency with compilers that insert explicit dereference operations on uses of references. Alternatively, an implicit *deref* can be introduced on all annotations on the reference. The implicit *deref* treatment may be more natural for developers.

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Alternatively, a dereferencing qualifier could support more general access paths, such as field references.

Table 3 below describes the *except* qualifier, which can modify or disambiguate an entire sequence of annotations.

5

Qualifier	Meaning
<i>except</i>	Given a set of annotations Q containing <i>except maybeP</i> , the effect of <i>except maybeP</i> is to erase any occurrences of <i>P</i> or <i>notP</i> (explicit or implied) within Q at the same level of dereferencing as <i>except maybeP</i> , and to replace them with <i>maybeP</i> .

Table 3: The *except* qualifier

The *except* qualifier is an override that is useful in situations where macros are used to combine multiple properties, and two macros that are placed on the same program artifact conflict on some property. This situation occurs frequently in annotated code.

10 Qualifiers need not be limited to the set of qualifiers described above. The source code annotation language may employ additional qualifiers, omit some qualifiers, vary the definitions of certain described qualifiers, etc.

3. Properties

15 Properties are statements about the execution state of a program at a given point. Properties describe characteristics of their corresponding annotation targets in the program. In some versions of the source code annotation language, properties are not dependent on particular checking tools or particular uses (e.g., compile-time checking, run-time checking, test-generation, etc.).

20 A property *P* has corresponding properties *notP* and *maybeP*. Where *P* indicates that a given property holds, *notP* indicates that the property does not hold, and *maybeP* indicates that the property may or may not hold. The source code annotation language can use *maybeP* as a default for un-annotated program artifacts.

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Predefined properties relating two parameters (for instance, a buffer and its size) are placed on one of the parameters and the name of the other parameter is given as an argument to the attribute.

5

a. Basic properties

Some versions of the source code annotation language include three basic properties: *null*, *readonly*, and *checkReturn*. These three properties can be used to annotate a pointer, the contents of a location, and a return value, respectively. The meanings of these three properties are described below in Table 4.

10

Property	Meaning
<i>null</i>	Annotates a pointer. States that the pointer is null.
<i>readonly</i>	Annotates the contents of a location. States that the location is not modified after this point. If the annotation is placed on the precondition state of a function, the restriction only applies until the postcondition state. By default, all un-annotated locations are <i>maybereadonly</i> , that is, callers must assume the value may change.
<i>checkReturn</i>	Annotates a return value. States that the caller should inspect the return value.

Table 4: Basic properties

As stated in Table 4, *readonly* is placed on the contents of a location. For example, for a function interface `foo(char *x)`,

`foo(deref readonly char *x)`

15 states that the contents of the `char` buffer pointed to by the formal parameter `x` cannot be modified. Although *readonly* is similar in meaning to the language construct *const*, *readonly* provides an additional benefit in that it can be used to annotate legacy interfaces on which *constness* cannot be specified without breaking applications, and it

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is sometimes more flexible than *const* (e.g., for describing *constness* of a recursive data structure parameter).

b. Buffer properties

5 Languages such as C and C++ have no built in concept of buffers or buffer lengths. Therefore, some versions of the source code annotation language include annotations to state assumptions about how much space in a buffer is allocated and how much of a buffer is initialized. Such annotations include two main properties for 10 buffers: the extent to which the buffer is writable (*writableTo*) and the extent to which the buffer is readable (*readableTo*). By stating assumptions about *writableTo* and *readableTo* extents at function prototypes, these annotations allow improved static checking of source code for buffer overruns. The *writableTo* and *readableTo* properties are described below in Table 5.

Property	Meaning
<i>writableTo(size)</i>	Annotates a buffer pointer. If the buffer can be modified, <i>size</i> describes how much of the buffer is writable (usually the allocation size). For a writer of the buffer, this is an explicit permission to write up to <i>size</i> , rather than a restriction to write only up to <i>size</i> (Possible size descriptions are described below.)
<i>readableTo(size)</i>	Annotates a buffer pointer. If the buffer can be read, <i>size</i> describes how much of the buffer is readable. For a reader of the buffer, this is an explicit permission to read up to <i>size</i> , rather than a restriction to read only up to <i>size</i> .
<i>aliased(location)</i>	Annotates a buffer pointer and states that the pointer points into the same logical buffer as <i>location</i> . The pointers need not be equal.

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Table 5: The *writableTo* and *readableTo* properties

The *writableTo* property describes how far a buffer can be indexed for a write operation (provided that writes are allowed on the buffer to begin with). In other words,

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writableTo describes how much allocated space is in the buffer. On the other hand, the *readableTo* property describes how much of a buffer is initialized and, therefore, how much of the buffer can be read.

- A buffer returned from an allocation function (e.g., malloc) starts out with a
- 5 known *writableTo* extent given by the allocation size, but the *readableTo* extent is empty. As the buffer is gradually initialized, the *readableTo* extent grows. For example, Figure 4 is a diagram of a buffer 400 having a *writableTo* property and a *readableTo* property. The buffer 400 has allocated eight bytes allocated, indicated by the bracket labeled 410. The extent to which the buffer 400 as shown is currently
- 10 *writableTo* is eight bytes. Part of the buffer 400 has been initialized and contains the characters H-E-L-L-O. The bytes containing these characters constitute the *readableTo* extent (indicated by bracket 420) of the buffer 400.

- The *size* argument of *writableTo* and *readableTo* can have several forms (i.e., size specifications). These are explained using the BNF grammar in Tables 7A-7C
- 15 below. This grammar also describes *location*, which the property *aliased* (described below) also can take as an argument. For the purposes of this grammar, non-terminals are in italics, whereas literals are in regular font.

<i>size</i>	::=	[pre post] <i>sizespec</i>	<p>The optional pre or post qualifier overrides the default store used to compute <i>sizespec</i>.</p> <p>The default store is the same store in which the enclosing <i>readableTo</i> or <i>writableTo</i> annotation is interpreted.</p>
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Table 7A: *size* argument grammar

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<i>sizespec</i>	::=	<i>byteCount(number)</i>	The size is given as a byte count.
		<i>elementCount(number)</i>	The size is given as an element count. The size in bytes can be obtained by multiplying by the element size.
		<i>elementCount(number, elemsize)</i>	The size is given as an element count. <i>elemsize</i> is a constant overriding the element size given by the C/C++ type. Useful for legacy interfaces with <i>void*</i> .
		<i>endpointer(location)</i>	The size is given as an end pointer. The size in bytes can be obtained by subtracting the buffer pointer from <i>location</i> , and multiplying by the element size.
		<i>internalpointer(location)</i>	The size is given as an internal pointer. <i>endpointer</i> and <i>internalpointer</i> provide the same information on readable and writable extent, but provide different information on the relative position of the two pointers. The distinction is useful when <i>internalpointer</i> is used as a refinement of the aliased property.
		<i>sentinel(constant-int)</i>	The size is given by the position of the first occurrence of a sentinel value, starting at the element pointed to by the buffer pointer. <i>constant-int</i> is the sentinel value (usually 0). The size in bytes can be obtained by subtracting the buffer pointer from the pointer to the first occurrence of the sentinel value, adding 1, and multiplying by the element size. Implies that there is at least one occurrence of the sentinel value in the buffer.

Table 7B: *sizespec* grammar

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<i>number</i>	::=	<i>constant-int</i>	
		<i>location</i>	
		<i>number op number</i>	<i>op</i> is either +, -, *, or /.
		- <i>number</i>	
		sizeof(<i>C/C++-type</i>)	The compile-time constant given by the C/C++ sizeof construct.
		readableBytes(<i>location</i>)	The number is obtained by taking the readable bytes of <i>location</i> , which must denote a buffer.
		writableBytes(<i>location</i>)	The number is obtained by taking the writable bytes of <i>location</i> , which must denote a buffer.
		readableElements(<i>location</i>)	The number is obtained by taking the readable elements of <i>location</i> , which must denote a buffer.
		writableElements(<i>location</i>)	The number is obtained by taking the writable elements of <i>location</i> , which must denote a buffer.
<i>location</i>	::=	<i>Variable</i>	Usually a parameter.
		Return	Special name; refers to the return value.
		* <i>location</i>	Dereference operation.

Table 7C: *number* and *location* grammar

The grammar in Tables 7A-7C presents several semantic possibilities for the *size* argument. However, not all of the semantic possibilities in this grammar are useful. For example, one can create meaningless numbers by using *readableElements* to give meaning to a *byteCount*, and *pre* and *post* do not make sense on *constant-int*. As another example, the return value can only be used with *post*.

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sentinel can be used to indicate null-terminated buffers. For instance, the property

readableTo(sentinel(0))

describes a buffer that must contain a 0, and whose readable size extends at least as far
5 as the buffer element that holds the first 0.

The *writableTo* and *readableTo* annotations are placed on the buffer pointer. For example, the annotation *writableTo(byteCount(10))* can be placed on the buffer pointer for the function interface *foo(char * buf)* in the following manner:

foo(writableTo(byteCount(10)) char buf)*

10 The *aliased* property is useful for transferring buffer properties from one pointer to another. *notAliased* is useful for guaranteeing that two buffers are not aliased (i.e., that two buffers do not overlap). The *aliased* property is described in Table 8 below.

Property	Meaning
<i>aliased(location)</i>	Annotates a buffer pointer and states that the pointer points into the same logical buffer as <i>location</i> . The pointers need not be equal.

Table 8: The *aliased* property

15 *endpointer* and *internalpointer* (see Table 7B above) can be used to refine the *aliased* annotation. *aliased(q)* on a pointer p states that p and q point into the same buffer. Additionally, *readableTo(internalpointer(q))* on a pointer p states that p is less than or equal to q.

Note that there is no explicit annotation to indicate null-terminated buffers. This
20 is because null-terminated buffers are declared using the *sentinel* size specification.

The definitions of and grammar corresponding to arguments (e.g., *size* and *location*) need not be limited to the set of properties described above. Versions of the

source code annotation language may employ additional arguments, omit some arguments, vary the definitions of certain described arguments, etc.

c. Valid values

Values are not always usable. A value that is not usable cannot be relied upon.

- 5 For instance, if an object of a user-defined type is expected to be a null-terminated string, the object may not be usable when freshly allocated or when passed in from an un-trusted source. (Hereafter, this user-defined type will be referred to as the “*AsciiString*” type.) The notion of usability/reliability can vary depending on context. For example, in some situations it is important to determine whether a value is usable in
- 10 a precondition state, while in other situations it is important to determine whether a value is usable in a postcondition state.

- The source code annotation language uses the annotation *valid* to state that a value is usable. *valid* applies recursively through the type structure. For example, a *valid* value *p* of type pointer means that *p* is not null, and that for any readable element 15 of a pointed-to buffer, the element itself is *valid*. Conversely, if we know that *p* is not null, but do not know if *p* is *valid*, then we do not know whether the pointed-to elements are *valid* unless the elements are themselves annotated.

Table 9 below describes the *valid* property.

Property	Meaning
<i>valid</i>	Annotates any value. States that the value satisfies all usability properties of its type (see Table 10 below). For example, for a string buffer, <i>valid</i> means that the buffer pointer is not null, and the buffer is null-terminated.

Table 9: The *valid* property

The usability of a value depends on the type of the value (e.g., its declared C/C++ type). For instance, in C/C++, usable values of pointer types should not be null, so that the pointer value may be used in a dereference operation.

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Table 10 gives particular interpretations of *valid* depending on the type of a value *v*.

<i>typeof(v)</i>	Meaning of <i>valid</i>
scalar	initialized
void	initialized (void can be treated as a scalar of one byte)
T*	<i>notnull</i> AND <i>readableTo(elementCount(1))</i> AND FORALL valid element indices <i>i</i> (given by <i>readableTo</i>) <i>valid(*(<i>v</i> + <i>i</i>))</i>
typedef	Given the following declaration of <i>typedefName</i> <i>typedef a₁ ... a_n T <i>typedefName</i>;</i> the meaning of <i>valid</i> is <i>a₁ AND a₂ AND ... a_n AND valid for typeof(v) = T</i>
struct	For all fields <i>f</i> in a struct <i>S</i> : <i>v.f</i> is initialized. (In other versions of the language, a stronger implication could be made that <i>v.f</i> is <i>valid</i> .)

Table 10: Interpretations of *valid* for different value types

5 In some versions of the source code annotation language, single objects of a given type are treated as buffers of the object type of size = 1. Therefore, *valid* pointers point to buffers with at least one readable element. Explicit size annotations may be used to describe pointers to buffers with more than one element.

10 *valid* may be used in conjunction with *except* to eliminate one or more aspects of validity from the annotations on an object. Some examples of using *valid* in conjunction with *except* are provided below:

Example 1. (*valid except maybenull int *p*) states that either *p* is *null* or *p* is *valid*.

15 Example 2. (*valid deref except maybenull int **p*) states that *p* is *valid*, and each element in the buffer pointed to by *p* is either *null* or *valid*.

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Annotations also can be placed on user-defined abstract data types (“typedefs”). These annotations define what it means to be a *valid* value of the given type. For example, for the user-defined type AsciiString, the typedef for AsciiString can be annotated to encode the fact that *valid* AsciiStrings are zero terminated buffers, as follows:

```
5      typedef readableTo(sentinel(0)) char * AsciiString;
```

The set of annotations corresponding to a *valid* value can be derived transitively through typedefs in the source code. For example, valid AsciiStrings can have the annotation *readableTo(Sentinel(0))*, as well as all of the annotations on values of type
10 char *.

Annotations on typedefs make it possible for programmers to define their own abstract types with customized notions of validity.

d. Overriding the declared type

In some cases, the interpretation of *valid* derived from the declared C/C++ type
15 of a parameter may be inappropriate. This may be because the C/C++ declared type on a function signature is imprecise, outdated, or otherwise wrong, but cannot be changed.

In some versions of the source code annotation language, the *typefix* property can be used to override the declared C/C++ type. The interpretation of *valid* for the annotated value is obtained from the type given by the *typefix* instead of the declared
20 C/C++ type. *typefix* can be used in conjunction with annotations on typedefs to customize the notions of validity associated with parameters. The meaning of the *typefix* property is described in Table 11 below.

- 21 -

Property	Meaning
<i>typefix(ctype)</i>	Annotates any value. States that if the value is annotated as <i>valid</i> , then it satisfies all of the properties of valid <i>ctype</i> values. <i>ctype</i> must be a visible C/C++ type at the program point where the annotation is placed.

Table 11: The *typefix* property

For example, legacy code may use void * or char * types for null-terminated string arguments. To take advantage of the *valid* property, it is useful to *typefix* these types to a type with a null-termination characteristic (e.g., the AsciiString example 5 described above). The following example describes this use of the *typefix* property

```
void use_string(typefix(AsciiString) valid void *stringarg)
```

Properties need not be limited to the set of properties described above. Versions of the source code annotation language may employ additional properties, omit some properties, vary the definitions of certain described properties, etc.

10

B. Examples

This section shows examples of how prototypes of some well-known buffer-related functions could be annotated using some versions of the source code annotation language. Annotations can vary from those shown in these examples.

15

For each prototype, we provide a verbose form, in which default annotations are made explicit, and a concise form, in which default annotations are omitted. In these examples, default annotations are filled in by the following rules:

20

- Annotations on results apply in the post state
- All properties not explicitly stated are *maybe*
- The *byteCount(size)* on the result is actually interpreted in the post state, because *writableTo* applies to the post state. Unless explicitly stated, sizes are interpreted in the same state as the annotation on which they appear. In this

- 22 -

example, the pre and post *byteCount(size)* have the same interpretation, since the size is given by the value of the argument value size, which does not change as a result of the call.

1. malloc

5 The annotations on the result of malloc specify that the returned pointer could be null, but if it is non-null, it is writable to the byte count given by the parameter size. In this example, the annotations do not state anything about whether the memory pointed to by the return value is initialized, or whether the memory later needs to be freed.

10 *post maybenull*
 post writableTo(pre byteCount(size))
 extern void * malloc (int size);

The concise annotations for malloc are:

writableTo(byteCount(size))
 extern void * malloc (int size);

15

2. memcpy

For memcpy, the annotations on the parameter dest state that on entry, it is a buffer writable to *byteCount(num)*, and on exit, it is readable to *byteCount(num)*, and valid. The annotations on the parameter src state that on entry the buffer is valid and readable to *byteCount(num)*, and the contents of the buffer are not modified by the
20 callee.

25 *post aliased(dest)*
 *void * memcpy (pre notnull*
 pre notaliased(src)
 pre writableTo(pre byteCount(num))
 post readableTo(pre byteCount(num))
 post valid
 *void * dest,*
 pre valid
 pre readableTo(pre byteCount(num))

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```
pre deref readonly
void * src,
size_t num
);
```

- 5 The concise annotations for memcpy are:

```
aliased(dest)
void * memcpy (notnull
notaliased(src)
writableTo(byteCount(num))
post readableTo(byteCount(num))
post valid
void * dest,
valid
readableTo(byteCount(num))
deref readonly
void * src,
size_t num
);
```

3. strcpy

20 In strcpy, *strSource* is a null terminated string; this is stated by annotating the typedef on AsciiString and using *typefix(AsciiString)*. *typefix(AsciiString)* is not qualified by *pre* or *post*; it applies in both states. *strDest* is a typical case of an output buffer. The preconditions for *strDest* state that it is *notnull* and is

writableTo(elementCount(count)).

25 The output buffer (or result buffer) is not annotated with *typefix(AsciiString)* because, while it is possible, it is not guaranteed that the buffer is zero-terminated on exit. There is no postcondition for the number of readable bytes in the buffer, because

that number would be given by *min(elementCount(count), sentinel(0))*. Although the min operation is not in the grammar of size specifications in some versions of the

30 source code annotation language, other versions could account for operations such as min, in addition to other operations. Some versions of the language omit support for complex operators such as min where the fact that a function like strcpy cannot be

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annotated with simpler size specifications suggests that the function should in fact not be used. An alternative version of `strncpy` null-terminates the destination buffer.

```

5      typedef readableTo(sentinel(0)) char * AsciiString;
post aliased(strDest)
char * strncpy (pre notnull
                    pre writableTo(pre elementCount(count))
                    post valid
                    char * strDest,
                    typefix(AsciiString)
10                         pre valid
                    pre deref readonly
                    const char * strSource,
                    size_t count
                    );
```

15 The concise annotations for `strncpy` are:

```

20     aliased(strDest)
char * strncpy ( notnull
                    writableTo(elementCount(count))
                    post valid
                    char * strDest,
                    typefix(AsciiString)
                    valid
                    deref readonly
                    const char * strSource,
25                         size_t count
                    );
```

4. _read

The annotations on `_read` are similar to the annotations on `memcpy`, except that on exit, the readable byte count for the buffer is specified by the return value, as 30 indicated using the special name *return* in the *byteCount* size description.

```

35     int _read (    int handle,
                    pre writableTo(byteCount(count))
                    post valid
                    post readableTo(byteCount(return))
                    void * buffer,
```

- 25 -

```
    unsigned int count
);
```

C. Exemplary semantics in one version of the source code annotation language

5 This section provides exemplary semantics to give a semi-formal, unambiguous meaning to annotations in one version of the source code annotation language. Other semantic rules and functions differing from those described in this example can be used in other versions of the language.

In this example, the annotation language consists of sequences of properties and
10 qualifiers as defined above, except that this example uses *lconst* in place of *readonly*.
The *lconst* qualifier differs from *readonly* in that it appears one dereference level above where the corresponding *readonly* would appear. This is a technical device to make it easier to define the exemplary semantic functions below. No explicit meaning is provided for *except*, because its effect is syntactic: first, all occurrences of *except* in a
15 sequence of annotations are processed; then, a meaning is assigned to the resulting annotation sequence.

We assume an evaluation function Env: Sym \rightarrow Locs for symbols that maps them into locations. The environment function is assumed to be implicit in this example, since in all contexts there is only one environment of interest.

20 Stores S : Loc \rightarrow Val (map locations to values (locations are values)).

The meaning M of annotations on a function is the conjunction of the meanings of the return annotations and the annotations on each formal parameter applied to the appropriate store (either pre or post).

M : annotated_signature \rightarrow PreState * PostState \rightarrow bool

25 M[ReturnAnnotations type FunctionSymbol(Annotations1 formal1,
Annotations2 formal2, ... AnnotationsN formalN) (Si, Sf) =
Post[ReturnAnnotations] (ReturnValue, Si, Sf)
AND Pre[Annotations1] (Env(formal1), Si, Sf, Env(formal1))
AND ...

- 26 -

AND Pre[AnnotationsN](Env(formalN), Si, Sf, Env(FormalN))

The Pre and Post functions are predicates evaluating to either true or false, given the value to which they apply, a set of annotations, and a pre and post store.

```

5   Pre[notnull, ...](Val, Si, Sf, InitVal) =
      Val != NULL
      AND Pre[...](InitVal, Si, Sf, InitVal)

    Pre[lconst, ...](Val, Si, Sf, InitVal) =
      for 0 <= i < writableTo(Val) . Si(Val+i) = Sf(Val+i)
      AND Pre[...](InitVal, Si, Sf, InitVal)

10  Pre[writableTo(limit), ...](Val, Si, Sf, InitVal) =
      bytesizeof(Buffer starting at Val, Si) >= LimitByteSize[limit](Val, Si, Sf)
      AND Pre[...](InitVal, Si, Sf, InitVal)

15  Pre[readableTo(limit), ...](Val, Si, Sf, InitVal) =
      initializedbytes(Buffer starting at Val, Si) >= LimitByteSize[limit](Val,
      Si, Si, Sf)
      AND Pre[...](InitVal, Si, Sf, InitVal)

20  Pre[valid, ...](Val, Si, Sf, InitVal) =
      valid(Val, Si)
      AND Pre[...](InitVal, Si, Sf, InitVal)

    Post[notnull, ...](Val, Si, Sf, InitVal) =
      Val != NULL
      AND Pre[...](InitVal, Si, Sf, InitVal)

25  Post[lconst, ...](Val, Si, Sf, InitVal) =
      // informal
      for 0 <= i < writableTo(Val) . Val+i is not written in the continuation of
      the current program point.
      AND Pre[...](InitVal, Si, Sf, InitVal)

30  Post[writableTo(limit), ...](Val, Si, Sf, InitVal) =
      bytesizeof(Buffer Starting at Val, Sf) >= LimitByteSize[limit](Val, Sf,
      Si, Sf)
      AND Post[...](InitVal, Si, Sf, InitVal)

```

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$\text{Post}[\text{readableTo(limit)}, \dots](\text{Val}, \text{Si}, \text{Sf}, \text{InitVal}) =$
 $\quad \text{validbytes}(\text{Buffer Starting at Val, Sf}) \geq \text{LimitByteSize}[limit](\text{Val}, \text{Sf}, \text{Si}, \text{Sf})$
 $\quad \text{AND Post}[\dots](\text{InitVal}, \text{Si}, \text{Sf}, \text{InitVal})$

5 $\text{Post}[\text{valid}, \dots](\text{Val}, \text{Si}, \text{Sf}, \text{InitVal}) =$
 $\quad \text{valid}(\text{Val}, \text{Sf})$
 $\quad \text{AND Post}[\dots](\text{InitVal}, \text{Si}, \text{Sf}, \text{InitVal})$

Pre and Post set a bit indicating the store in which to do dereferences (and buffer lookups).

10 $\text{Pre}[\text{pre}, \dots](\text{Val}, \text{Si}, \text{Sf}, \text{InitVal}) = \text{Pre}[\dots](\text{Val}, \text{Si}, \text{Sf}, \text{InitVal})$
 $\text{Pre}[\text{deref}, \dots](\text{Val}, \text{Si}, \text{Sf}, \text{InitVal}) = \text{Pre}[\dots](\text{Si}(\text{Val}), \text{Si}, \text{Sf}, \text{InitVal})$
 $\text{Pre}[\text{post}, \dots](\text{Val}, \text{Si}, \text{Sf}, \text{InitVal}) = \text{Post}[\dots](\text{Val}, \text{Si}, \text{Sf}, \text{InitVal})$
 $\text{Post}[\text{pre}, \dots](\text{Val}, \text{Si}, \text{Sf}, \text{InitVal}) = \text{Pre}[\dots](\text{Val}, \text{Si}, \text{Sf}, \text{InitVal})$
 $\text{Post}[\text{deref}, \dots](\text{Val}, \text{Si}, \text{Sf}, \text{InitVal}) = \text{Pre}[\dots](\text{Sf}(\text{Val}), \text{Si}, \text{Sf}, \text{InitVal})$
15 $\text{Post}[\text{post}, \dots](\text{Val}, \text{Si}, \text{Sf}, \text{InitVal}) = \text{Post}[\dots](\text{Val}, \text{Si}, \text{Sf}, \text{InitVal})$

LimitByteSize[limit](Ptr, defaultStore, initialStore, finalStore) gives meaning to *limit* as a byte count in the given default store and for the buffer at Ptr. First, the store is selected in which to evaluate the limit expression (e.g., the explicit pre store, the explicit post store, or the default store):

20 $\text{LimitByteSize}[\text{pre } \dots](\text{Ptr}, \text{S}, \text{Si}, \text{Sf}) = \text{LimitByteSize}[\dots](\text{Ptr}, \text{Si})$
 $\text{LimitByteSize}[\text{post } \dots](\text{Ptr}, \text{S}, \text{Si}, \text{Sf}) = \text{LimitByteSize}[\dots](\text{Ptr}, \text{Sf})$
 $\text{LimitByteSize}[\dots](\text{Ptr}, \text{S}, \text{Si}, \text{Sf}) = \text{LimitByteSize}[\dots](\text{Ptr}, \text{S})$

Next, the way in which the byte count is obtained is defined for the four ways in which a limit can be specified. If the limit is a byte index, then the mapping is that index. If 25 the limit is an element index, the index is multiplied by the element size. If the limit is a sentinel, the index of the first occurrence is found. Finally, if the limit is an end pointer, then the start pointer (Ptr) is subtracted from the location obtained for the end pointer.

30 $\text{LimitByteSize}[\text{ByteCount } (\text{number})](\text{Ptr}, \text{S}) = \text{Number}[\text{number}](\text{S})$
 $\text{LimitByteSize}[\text{ElementCount}(\text{number})](\text{Ptr}, \text{S}) = \text{elementSize}(\text{Ptr}) * \text{Number}[\text{number}](\text{S})$

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$\text{LimitByteSize}[\text{Sentinel}(\text{int-const})](\text{Ptr}, \text{S}) = \text{elementSize}(\text{Ptr}) * (\text{first-element-index}(\text{Ptr}, \text{int-const}) + 1)$
 $\text{LimitByteSize}[\text{EndPointer}(\text{location})](\text{Ptr}, \text{S}) = \text{Location}[\text{location}](\text{S}) - \text{Ptr}$
 $\text{LimitByteSize}[\text{InternalPointer}(\text{location})](\text{Ptr}, \text{S}) = \text{Location}[\text{location}](\text{S}) - \text{Ptr}$

5 The Number function gives meaning to number specs:

10 Number[*constant-int*](*S*) = *constant-int*
 Number[*number* - 1](*S*) = Number[*number*](*S*) - 1
 Number[*location*](*S*) = *S*(Location[*location*](*S*))
 Number[readableBytes(*location*)](*S*) = readableBytes for buffer starting at the
 value of Location[*location*](*S*)
 Number[readableElements(*location*)](*S*) = readableBytes for buffer starting at
 the value of Location[*location*](*S*)
 Number[writableBytes(*location*)](*S*) = writableBytes for buffer starting at the
 value of Location[*location*](*S*)
 15 Number[writableElements(*location*)](*S*) = writableElements for buffer starting
 at the value of Location[*location*](*S*)

The Location[*location*](*S*) function maps locations into their values:

20 Location[... *x*](*S*) = Deref[...](Env(*x*), *S*)
 // lookup in given store *S* and then deref as many times as necessary.
 Deref[](Val, *S*) = Val
 Deref[* ...](Val, *S*) = Deref[...](*S*(Val), *S*)

II. Computing Environment

25 The techniques and tools described above can be implemented on any of a
 variety of computing devices and environments, including computers of various form
 factors (personal, workstation, server, handheld, laptop, tablet, or other mobile),
 distributed computing networks, and Web services, as a few general examples. The
 techniques and tools can be implemented in hardware circuitry, as well as in software
 580 executing within a computer or other computing environment, such as shown in
 Figure 5.

30 Figure 5 illustrates a generalized example of a suitable computing environment
 500 in which the described techniques and tools can be implemented. The computing

environment 500 is not intended to suggest any limitation as to scope of use or functionality of the invention, as the present invention may be implemented in diverse general-purpose or special-purpose computing environments.

With reference to Figure 5, the computing environment 500 includes at least one processing unit 510 and memory 520. In Figure 5, this most basic configuration 530 is included within a dashed line. The processing unit 510 executes computer-executable instructions and may be a real or a virtual processor. In a multi-processing system, multiple processing units execute computer-executable instructions to increase processing power. The memory 520 may be volatile memory (e.g., registers, cache, RAM), non-volatile memory (e.g., ROM, EEPROM, flash memory, etc.), or some combination of the two. The memory 520 stores software 580 implementing a source code annotation language.

A computing environment may have additional features. For example, the computing environment 500 includes storage 540, one or more input devices 550, one or more output devices 560, and one or more communication connections 570. An interconnection mechanism (not shown) such as a bus, controller, or network interconnects the components of the computing environment 500. Typically, operating system software (not shown) provides an operating environment for other software executing in the computing environment 500, and coordinates activities of the components of the computing environment 500.

The storage 540 may be removable or non-removable, and includes magnetic disks, magnetic tapes or cassettes, CD-ROMs, CD-RWs, DVDs, or any other medium which can be used to store information and which can be accessed within the computing environment 500. For example, the storage 540 stores instructions for implementing software 580.

The input device(s) 550 may be a touch input device such as a keyboard, mouse, pen, or trackball, a voice input device, a scanning device, or another device that provides input to the computing environment 500. For audio, the input device(s) 550

- 30 -

may be a sound card or similar device that accepts audio input in analog or digital form, or a CD-ROM reader that provides audio samples to the computing environment. The output device(s) 560 may be a display, printer, speaker, CD-writer, or another device that provides output from the computing environment 500.

5 The communication connection(s) 570 enable communication over a communication medium to another computing entity. The communication medium conveys information such as computer-executable instructions, audio/video or other media information, or other data in a modulated data signal. By way of example, and not limitation, communication media include wired or wireless techniques implemented
10 with an electrical, optical, RF, infrared, acoustic, or other carrier.

The techniques and tools described herein can be described in the general context of computer-readable media. Computer-readable media are any available media that can be accessed within a computing environment. By way of example, and not limitation, with the computing environment 500, computer-readable media include
15 memory 520, storage 540, communication media, and combinations of any of the above.

Some of the techniques and tools herein can be described in the general context of computer-executable instructions, such as those included in program modules, being executed in a computing environment on a target real or virtual processor. Generally,
20 program modules include functions, programs, libraries, objects, classes, components, data structures, etc. that perform particular tasks or implement particular abstract data types. The functionality of the program modules may be combined or split between program modules as desired. Computer-executable instructions may be executed within a local or distributed computing environment.

25 In view of the many possible embodiments to which the principles of our invention may be applied, we claim as our invention all such embodiments as may come within the scope and spirit of the following claims and equivalents thereto.